



Modified PTO/SB/17 (11-00)
 Approved for use through 10/31/2002. OMB 0651-0032
 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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FEET TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1,366.00)

Complete if Known

| | |
|----------------------|------------------|
| Application Number | 09/910,429 |
| Filing Date | July 20, 2001 |
| First Named Inventor | Robert T. BAUM |
| Examiner Name | Not yet assigned |
| Group Art Unit | 2131 |
| Attorney Docket No. | Bell-32 |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

Deposit Account Number 50-1049
 Deposit Account Name Straub & Pokotylo

Charge any deficiency or credit any overpayment

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|-------------------------------|----------|
| 101 | 740 | 201 370 Utility filing fee | 740.00 |
| 106 | 330 | 206 165 Design filing fee | |
| 107 | 510 | 207 255 Plant filing fee | |
| 108 | 740 | 208 370 Reissue filing fee | |
| 114 | 160 | 214 80 Provisional filing fee | |
| SUBTOTAL(1) | | (\$ 740.00) | |

2. EXTRA CLAIM FEES

| Total Claims | 36 | -20** = | 16 | Fee from below | Fee Paid |
|--------------------|----|---------|----|----------------|----------|
| Independent Claims | 5 | - 3** = | 2 | 84.00 | 168.00 |
| Multiple Dependent | | | | | |

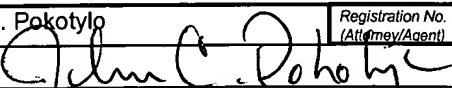
| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|---|
| 103 | 18 | 203 9 Claims in excess of 20 |
| 102 | 84 | 202 42 Independent claims in excess of 3 |
| 104 | 280 | 204 140 Multiple dependent claim, if not paid |
| 109 | 84 | 209 42 **Reissue independent claims over original patent |
| 110 | 18 | 210 9 **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | (\$ 456.00) |

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

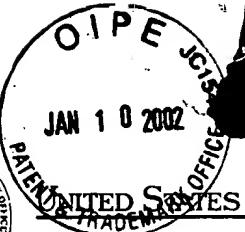
| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|-----------------------------------|---------------|---|--------------------|
| 105 | 130 | 205 65 Surcharge - late filing fee or oath | 130.00 |
| 127 | 50 | 227 25 Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 130 Non-English specification | |
| 147 | 2,520 | 147 2,520 For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 920* Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 1,840* Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 55 Extension for reply within first month | |
| 116 | 400 | 216 200 Extension for reply within second month | |
| 117 | 920 | 217 460 Extension for reply within third month | |
| 118 | 1,440 | 218 720 Extension for reply within fourth month | |
| 128 | 1,960 | 228 980 Extension for reply within fifth month | |
| 119 | 320 | 219 160 Notice of Appeal | |
| 120 | 320 | 220 160 Filing a brief in support of an appeal | |
| 121 | 280 | 221 140 Request for oral hearing | |
| 138 | 1,510 | 138 1,510 Petition to institute a public use proceeding | |
| 140 | 110 | 240 55 Petition to revive - unavoidable | |
| 141 | 1,280 | 241 640 Petition to revive - unintentional | |
| 142 | 1,280 | 242 640 Utility issue fee (or reissue) | |
| 143 | 460 | 243 230 Design issue fee | |
| 144 | 620 | 244 310 Plant issue fee | |
| 122 | 130 | 122 130 Petitions to the Commissioner | |
| 123 | 50 | 123 50 Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 180 Submission of Information Disclosure | |
| 581 | 40 | 581 40 Recording each patent assignment per property (times number of properties) | 40.00 |
| 146 | 740 | 246 370 Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 740 | 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 740 | 279 370 Request for Continued Examination (RCE) | |
| 169 | 900 | 169 900 Request for expedited examination of a design application | |
| Other fee (specify) ----- | | | |
| *Reduced by Basic Filing Fee Paid | | SUBTOTAL (3) | (\$ 170.00) |

SUBMITTED BY

| | | | | | |
|-------------------|---|-----------------------------------|------------------|-----------|----------------|
| Name (Print/Type) | John C. Pokotylo | Registration No. (Attorney/Agent) | 36,242 | Telephone | (732) 335-1222 |
| Signature |  | Date | October 31, 2001 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/910,429 | 07/20/2001 | Robert T. Baum | Bell-32 |

26479
 STRAUB & POKOTYLO
 1 BETHANY ROAD, SUITE 83
 BUILDING 6
 HAZLET, NJ 07730

CONFIRMATION NO. 2654
FORMALITIES LETTER



OC000000006523691

Date Mailed: 09/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$448.
 - \$288 for 16 total claims over 20.
 - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1288.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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| | | |
|-----------|--------|----|
| 02 FC:105 | 130.00 | OP |
| 03 FC:101 | 740.00 | OP |
| 04 FC:102 | 288.00 | OP |
| | 168.00 | OP |

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Sector 1

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Attorney Docket No.: Bell-32

Applicant: Robert T. BAUM

Serial No.: 09/910,429

Filing Date: July 20, 2001

Title: SECURITY EXTENSIONS USING AT LEAST A PORTION OF
LAYER 2 INFORMATION OR BITS IN THE PLACE OF LAYER
2 INFORMATION

Examiner: Not yet assigned

Group Art Unit: 2131

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

MISSING PARTS TRANSMITTAL

In response to a "Notice to File Missing Parts of Application" (PTO-1533) mailed on September 7, 2001 and setting a time for response to expire on November 7, 2001, in connection with the above-captioned patent application, applicant encloses the following:

- Postcard receipt;
- Assertion of Small Entity Status -
Small Entity Status is hereby claimed;
- Executed Declaration and Power of Attorney
(3 pgs.);
- Copy of application as filed;
- English translation document;
- Assignment with Recordation Form Cover Sheet
(in duplicate) to Verizon Communications Inc.
(5 pgs.);
- Information Disclosure Statement, modified PTO-1449,

and (0) cited reference(s);

Copy of PTO-1533 (Notice to File Missing Parts) issued in connection with this application;

A claim to priority (included in declaration);

Submission of Priority Document and Certified copy of _____ Application No. _____, filed _____;

Fee Transmittal form; and

Our check in the amount of \$ 1,366.00 to cover the basic filing fee of \$ 740.00, the additional claim fee of \$ 456.00, the surcharge under 37 CFR 1.16(e) in the amount of \$ 130.00 for late filing of the declaration, and an assignment recordation fee of \$ 40.00.

In the event the enclosed check is unacceptable, insufficient or is omitted, kindly charge the additional or entire cost, as appropriate, to the deposit account of **STRAUB & POKOTYLO**, deposit account number 50-1049. To facilitate that charge, a duplicate copy of this letter is enclosed herewith.

Respectfully submitted,

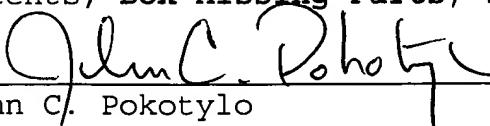
October 31, 2001


John C. Pokotylo, Attorney
Reg. No. 36,242
Customer No. 26479
(732) 335-1222

STRAUB & POKOTYLO
1 Bethany Road
Suite 83, Building 6
Hazlet, New Jersey 07730

CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited on **October 31, 2001** with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the Assistant Commissioner for Patents, **Box Missing Parts**, Washington, D.C. 20231.


John C. Pokotylo 36,242
Reg. No.